



INVESTMENT INDUSTRY ASSOCIATION OF CANADA
ASSOCIATION CANADIENNE DU COMMERCE DES VALEURS MOBILIÈRES

MONDAY, MARCH 18, 2019

LETTER FROM THE PRESIDENT

What Canada can learn from a damning report on Australia's financial industry

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IIROC AND CSA CONSULT ON INTERNALIZATION IN EQUITY MARKET

IIAC forming Working Group to provide comments

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PROPOSED AMENDMENTS RESPECTING PROVISION OF PRICE IMPROVEMENT BY A DARK ORDER

IIAC submits comments to IIROC

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2019 FEDERAL BUDGET

IIAC to provide commentary and analysis

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FS-ISAC CYBERSECURITY BRIEF

March 2019 issue now available

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UPCOMING IIAC EVENTS

2019 IIAC Compliance and Operations Symposium

April 3, Toronto

VENUE: Vantage Venues, 150 King Street West
Toronto (16th Floor – Garden Suite)

Join us in Toronto on April 3, 2019 for this full-day event to hear perspectives on hot-button issues impacting compliance and operations professionals within Canada's securities industry. Network with peers and industry experts throughout the day.

Panel sessions to include:

- Leveraging new technologies to assist with compliance and operations functions
- Liquid Alts: The New Retail Frontier
- Cannabis and Compliance
- Industry Perspectives on IIROC's Debt Market Reporting & Surveillance System
- Fireside chat with Maureen Jensen, Chair and Chief Executive Officer, Ontario Securities Commission
- Cyber Readiness: Where are your vulnerabilities?
- Vulnerable Clients: How Firms Can Better Protect Both Clients and Advisors

Latest Agenda – Please click [here](#)

[REGISTER HERE](#)

Call for 2018 Investment Industry Hall of Fame Nominations

The IIAC seeks nominations for the 2019 class of *Investment Industry Hall of Fame* Inductees. **The deadline to submit nominations is Wednesday, May 15, 2019 (at 5:00 p.m. ET).**

A maximum of three living and two posthumous candidates will be inducted into the Hall of Fame in 2019. The Inductees are chosen by members of the [Hall of Fame Selection Committee](#)—an independent Committee—comprised of distinguished Canadians who work, or have worked, in the investment industry, business, politics, law or academia.

The 2019 Inductees will be recognized and celebrated at a Gala Dinner on Thursday, October 24, 2019 in Toronto.

Additional information on the eligibility and selection criteria, and the nomination process, is available [here](#).

Help us honour excellence, integrity and leadership in Canada's investment industry. **Submit your nomination by clicking below.**

[NOMINATE HERE](#)

IIAC IN THE NEWS

[SEE MORE](#)

[The Globe and Mail](#) [Investment industry calls for Ottawa to tackle competitiveness \(subscription required\)](#)

OTHER NEWS

[Investment Executive](#)

[newswire.ca](#)

[The Globe and Mail](#)

[Retail trading practices face regulators' scrutiny](#)

[Canadian Financial Advisors Face Real Impact From Client Mental Health Issues](#)

[Federal watchdog unable to reliably estimate scope of Canada's money-laundering problem: report](#)

PROLINK

Canada's Insurance Connection

IIAC Partner Spotlight: PROLINK Fidelity Bonds Insurance

Save 15% off standard market rates with the Financial Institution Bond Program for IIAC members, offered through PROLINK.

[LEARN MORE](#)

IIAC's Industry Job Board:

To post a job to the IIAC's Investment Industry Job Board, email the job description, as a Word attachment or PDF, to info@iiac.ca. Posting on our Job Board is a complimentary service provided to IIAC members. You will be notified by email once the job is posted.

[VIEW POSTINGS](#)

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