

NEWS RELEASE

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For Immediate Release

Call for 2021 Investment Industry Hall of Fame Nominations

Honouring excellence, integrity and leadership in Canada's investment industry

Toronto, ON, February 22, 2021 – The Investment Industry Association of Canada (IIAC) announces its annual call for nominations for the 2021 class of Investment Industry Hall of Fame Inductees. **The deadline to submit nominations is Friday, May 14, 2021 (5:00 pm ET).**

A maximum of three living and two posthumous candidates will be inducted into the Investment Industry Hall of Fame in 2021. The inductees are chosen by members of the IIAC Hall of Fame Selection Committee—an independent Committee—comprised of distinguished Canadians who work, or have worked, in the investment industry, business, politics, law or academia.

In light of the ongoing COVID-19 pandemic, the details surrounding the recognition and celebration of the 2021 inductees will be shared at a later date.

Criteria for Inductees

Industry Impact

- Must have spent most of their career working in Canada's investment industry. This includes buy-side, sell-side, regulator/policy maker, infrastructure provider (e.g. stock exchange);
- demonstrated investment industry leadership skills (e.g. held executive positions; recognized as an influencer; and/or devised innovative solutions in financing and trading);
- advocate for sound public policy and effective regulation of firms, markets and industry participants;
- positive impact on Canadian investors; and/or
- lifelong supporter of—or ambassador for—the investment industry.

Leadership, Ethics and Social Responsibility

- The individual positively influenced others by:
 - holding leadership positions in their community;
 - enriching their community by volunteering their time and/or through philanthropic giving.
- The individual embraced and demonstrated strong values through:
 - a lifetime commitment to integrity, strong ethics and social responsibility.

Nomination Process

Nominators can nominate **one** candidate who meets the criteria by emailing the following information to halloffame@iiac.ca, or by filling in and submitting an online form available here.

Living Nomination

- Name of candidate
- Current title [or if retired, previous title(s)]
- Name of firm [or if retired, previous firm(s)]
- Following the criteria defined above, please outline in 500 to 1,000 words why the nominee deserves induction (and include the nominee's contributions to Canada's investment industry, awards/medals, honourary degrees, philanthropic contributions, etc.)
- Nominator's name, current/previous title, current/previous employer, email address and phone number
- Nominator's relationship to the nominee
- An attestation from the Nominator that, to the best of their knowledge, the candidate they are nominating has not been subject to any disciplinary action by an industry regulator

Posthumous Nomination

- Name of candidate
- Career-defining title(s)
- Career-defining firm(s)/organization(s)
- Following the criteria outlined above, please outline in 500 to 1,000 words why the nominee deserves induction (and include the nominee's contributions to Canada's investment industry, awards/medals, honourary degrees, philanthropic contributions, etc.)
- Nominator's name, current/previous title, current/previous employer, email address and phone number
- Nominator's relationship to the nominee
- An attestation from the Nominator that, to the best of their knowledge, the candidate they are nominating has not been subject to any disciplinary action by an industry regulator

Please note: incomplete nominations will not be considered. Current members of the IIAC Board of Directors are ineligible for induction.

For additional information on the award please click <u>here</u>.

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Nomination and Selection Timeline

Nomination deadline: Friday, May 14, 2021 Vetting of nominees: May and June 2021

Inductee notification: June 2021
Inductee announcement: Summer 2021

Induction ceremony: TBA

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The IIAC – Representing Canada's Investment Dealer Firms

The Investment Industry Association of Canada (IIAC) is the national association representing the investment industry's position on securities regulation, public policy and industry issues on behalf of our 112 IIROC-regulated investment dealer Member firms in the Canadian securities industry. These dealer firms are the key intermediaries in Canadian capital markets, accounting for the vast majority of financial advisory services, securities trading and underwriting in public and private markets for governments and corporations. The IIAC provides leadership for the Canadian securities industry with a commitment to a vibrant, prosperous investment industry driven by strong and efficient capital markets. For more information, please visit www.iiac.ca.

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