

IIAC Anti-Money Laundering & Financial Crimes Conference: Trends and Perspectives for the Financial Industry

Tuesday, May 31, 2022; 8:00 am to 4:30 pm ET

Vantage Venues Conference Centre | 150 King St. West, 16th floor | Toronto ON

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- 8:00 am **Registration and Breakfast** - Brought to you by [Broadridge Canada](#)
- 8:55 am **Welcome:** [Laura Paglia](#) | President and Chief Executive Officer | Investment Industry Association of Canada (IIAC)
- 9:00 am **Opening Remarks:** [Sarah Paquet](#) | Director and Chief Executive Officer | Financial Transactions and Reports Analysis Centre (FINTRAC)
- Q &A
- 9:45 am **Session One: Digital Identification Methods and Testing**
- The rise of electronic financial services means that firms must rely on digital identification to authenticate clients. This panel will address the pros and cons of these methods, and will address the key elements that need to be in place for accurate monitoring and testing.*
- Moderator: [Derek Ramm](#) | Director, Anti-Money Laundering | Alcohol and Gaming Commission of Ontario
- Panelists: [Gene DiMira](#) | Chief Identity Officer | The AML shop
- [Keith Jansa](#) | Executive Director | CIO Strategy Council
- 10:30 am **Morning Break**
- 10:45 am **Session Two: The Role of Artificial Intelligence in Combating Money Laundering and Financial Crimes**
- Methods used by financial criminals have evolved significantly over the last decade; and the last two years have proven that we have to be more astute and progressive in how we combat money laundering. This panel will take us through the lifecycle of client engagement and the opportunities that arise to incorporate AI into each step of our existing programs.*
- Moderator: [Jasmin Jabri](#) | Managing Director | Investment Industry Association of Canada

Panelists: [Carrie Chai](#) | Director, AI and Machine Learning | AML/ATF Models and Analytics | Global Risk Management | Scotiabank

[Ray Ganong](#) | Chief Technology Officer | Applied Recognition Corp.

[Samuel Wiltshire](#) | Senior AML Manager | Wealthsimple

11:30 am

Session Three: Managing AML and Financial Crime Risk in a Virtual Currency World

What factors should be contemplated before dealing in virtual currencies, and what new considerations should be applied to our monitoring programs? This panel will provide thoughtful information to help firms make these decisions; and will address the various risk management tools and safeguards that should be incorporated into firms' forensic review programs.

Moderator: [Shauvik Shah](#) | Associate | McCarthy Tétrault LLP

Panelists: [Kunal Bhasin](#) | Director & Co-Lead, Cryptoassets & Blockchain Advisory Services | KPMG LLP

[Paige Wadden](#) | Chief Compliance Officer and VP, Risk Oversight | Fidelity Clearing Canada

[Sue Ling Yip](#) | Partner, Risk Consulting & Financial Crimes | KPMG LLP

12:15 pm

Lunch - Brought to you by [Fidelity Clearing Canada](#)

12:45 pm

Keynote Introduction: [Lisa Howes](#) | Managing Director, Canadian Sales | Broadridge Financial Solutions, Inc.

Keynote Lunch Speaker: Open Banking and its Impact for AML Compliance

Speaker: [The Honourable Colin Deacon](#) | Senator | Senate of Canada

1:00 pm

Fireside Chat

Speakers: [The Honourable Colin Deacon](#) | Senator | Senate of Canada

[Keith Jansa](#) | Executive Director | CIO Strategy Council

1:45 pm **Session Four: International Money Laundering Trends**

Russian sanctions? Capital flight from China? This session will cover several key global events, and the effect of those events on our AML programs in Canada.

Moderator: [Lida Preyma](#) | Director, Capital Markets Strategy & Client Insights | BMO Capital Markets

Panelists: [Slava Akselrud](#) | Anti-Money Laundering Overlay, Americas | NICE Actimize

[Kim Manchester](#) | Managing Director | ManchesterCF

2:30 pm **Afternoon Break**

2:50 pm **Session Five: AML Audits - Expectations, Trends and Results**

AML programs need to detect, prevent and mitigate future risks and exposure to money laundering activity. Will your program pass an AML audit? This panel will give you the information and tools to be prepared.

Moderator: [Andrew Morris](#) | Head of AML, QuestEnterprise | Questrade Financial Group

Panelists: [Jennifer Fiddian-Green](#) | Partner | Grant Thornton LLP

[Michelle Sarmiento](#) | Senior Manager, Risk Consulting and Financial Crimes | KPMG LLP

[Jacqueline Shinfield](#) | Partner | Co-lead Financial Services Regulatory Group | Blake, Cassels & Graydon LLP

3:40pm **Session Six: AML and Financial Crimes Management - How to Prepare for Future Changes**

The advancement and globalization of financial crimes means that our AML programs must continually evolve to keep up. This panel will provide guidance and insight on how to prepare for future challenges.

Moderator: [Kevin Comeau](#) | Corporate Lawyer | Member of Transparency International Canada's Working Group on Beneficial Ownership Transparency

Panelists: [Julien Brazeau](#) | Director General | Financial Crimes and Security Division | Finance Canada

[James Cohen](#) | Executive Director | Transparency International Canada

Matthew McGuire | Co-Founder & Practice Leader | The AML Shop

Jacqueline Sanz | Managing Director and Canada Lead, Risk and Compliance Solution | Protiviti Inc.

4:30 pm **Closing Remarks -** Laura Paglia | President and Chief Executive Officer | Investment Industry Association of Canada (IIAC)

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